



ROCKBRIDGE

INVESTMENT MANAGEMENT

**Form ADV Part 2B
Firm Brochure Supplement**

Craig A. Buckhout

**Rockbridge Investment Management, LLC
220 South Warren Street, 9th Floor
Syracuse, NY 13202
(315) 671-0588
www.rockbridgeinvest.com**

This brochure supplement provides information about Craig Buckhout that supplements the Rockbridge Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact him at 315.671.0588 if you did not receive Rockbridge Investment Management's brochure or if you have any questions about the contents of this supplement.

March 20, 2020

Item 2 – Educational Background and Business Experience

Craig A. Buckhout was born in 1957. He received a Bachelor of Science degree in Agriculture Economics from Cornell University in 1979 and a Master of Business Administration degree from the Johnson Graduate School of Management of Cornell University in 1980.

Craig has earned and maintains the following professional designations with the listed minimum qualification requirements:

Chartered Financial Analyst®

The Chartered Financial Analyst (CFA) charter is a globally recognized graduate-level investment credential. Earning the charter demonstrates a professional's commitment to ethics and expertise. An investor who works with a CFA charter holder is partnering with a professional with unparalleled expertise, integrity, and adaptability in the financial sector.

The CFA charter is a rigorous test of a candidate's analytical abilities and ethics knowledge. More than 100,000 people globally sit for the exam each year; on average, less than 20% testing receive a CFA charter. To be eligible for the charter, a candidate must pass three consecutive annual examinations, involving approximately 1,000 hours of research relating to:

- Ethical and professional standards in finance
- Investment analysis
- Economic theory and analysis
- Financial statement reporting and analysis Asset valuation, including derivatives, fixed income, and equities
- Portfolio management

In addition to the annual examinations, candidates must meet additional education and experience requirements, and attest annually to the CFA Institute Code of Ethics and Standards of Professional Conduct. The Code of Ethics maintains that a CFA charter holder must:

- Place the integrity of the profession and the interest of clients above their own interests
- Act with integrity, competence, and respect
- Maintain and develop professional competence

Working with an investment advisor who has earned the CFA charter means that you can trust that the advice you receive is objective, based on a foundation of research and facts, and that recommendations are based on your best interests. To learn more about the CFA charter, visit www.cfainstitute.org

CERTIFIED PRIVATE WEALTH ADVISOR® (CPWA®)

The CPWA designation signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for the professional designation, which is centered on management topics and strategies for high-net-worth clients. Prerequisites for the CPWA designation are: a Bachelor's degree from an accredited college or university or one of the following designations or licenses: CIMA®, CIMC®, CFA®, CFP®, ChFC® or CPA license; acceptable regulatory history as evidenced by FINRA Form U-4 or other regulatory requirements; five years of professional client-centered experience in financial services or a related industry; and two letters of reference from an IMCA member, professional supervisor, or currently licensed professional in financial services or a related industry. CPWA designees must complete a six-month pre-class educational component and a five-day classroom education program through The University of Chicago Booth School of Business. CPWA designees are required to adhere to IMCA's Code of Professional Responsibility and Rules and Guidelines for Use of the Marks. CPWA designees

must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. The designation is administered through Investment Management Consultants Association (IMCA®).

Business Experience

Employment History	Dates
Rockbridge Investment Management, LLC, Principal	1997-present
Agri-Invest, Manager	1997-present
Disciplined Capital Management, LLC, Manager	2015-present
RJR Associates, Investment Advisor	2014-2015
RJR Associates, Director	2004-2009

Item 3 – Disciplinary Information

Mr. Buckhout does not have any legal or disciplinary events to disclose. He is not the subject of any pending legal, disciplinary or administrative proceedings.

Item 4 – Other Business Activities

Mr. Buckhout also serves as an Investment Advisor for Disciplined Capital Management, LLC.

Item 5 – Additional Compensation

Please refer to Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Buckhout is a Principal of the Advisor.



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Anthony R. Farella

**Rockbridge Investment Management, LLC
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(315) 671-0588
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This brochure supplement provides information about Anthony Farella that supplements the Rockbridge Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact him at 315.671.0588 if you did not receive Rockbridge Investment Management's brochure or if you have any questions about the contents of this supplement.

March 20, 2020

Item 2 – Educational Background and Business Experience

Anthony R. Farella was born in 1967. He received a Bachelor of Arts degree in Economics from Syracuse University in 1993.

He has earned and maintains the following professional designation with the minimum qualification requirements listed below:

Certified Financial Planner™ (CFP®) Year earned-2005

To earn the certification recipients must meet the following requirements:

Coursework

Complete courses that cover integrated financial planning topics such as:

- General principles of financial planning
- Insurance planning and risk management
- Employee benefits planning
- Investment planning
- Income tax planning
- Retirement planning
- Estate planning

Bachelor's Degree

In addition to completing the course-work a Bachelor's Degree (or its equivalent) or an advanced degree so long as it is from an accredited college or university. The Bachelor's Degree is a requirement for certification but is not a requirement to be eligible to take the examination.

Work Experience

Applicants must supervise, directly support, teach or personally deliver all or part of the personal financial planning process to a client. Qualifying experience must fit within one or more of the six primary elements of the personal financial planning process described below:

- Establishing and Defining the Relationship with the Client – This includes explaining the issues and concepts related to the personal financial planning process, and clearly specifying the services the individual or firm will provide and the associated responsibilities.
- Gathering Client Data Including Goals – This includes interviewing or questioning the client about various aspects of their financial resources, obligations and expectations. It also involves helping to determine the client's goals, needs and priorities, assessing the client's values and attitudes and determining time horizons and risk tolerance.
- Analyzing and Evaluating the Client's Financial Status – This involves analyzing and evaluating client data such as current cash flow needs, risk management, investments, taxes, retirement, employee benefits, estate planning, and special needs.
- Developing and Presenting Financial Planning Recommendations and/or Alternatives – This process includes presenting and reviewing recommendations, working to ensure that the plan meets the goals and expectations of the client, and revising the recommendations as necessary.
- Implementing the Financial Planning Recommendations – This involves helping the client put the financial planning recommendations into action and may include coordinating with other professionals, such as accountants, attorneys, real estate agents, stockbrokers and insurance agents.

- Monitoring the Financial Planning Recommendations – This involves discussing with the client any changes in their personal circumstances, evaluating changing tax laws, and making recommendations based on new or changing conditions.

Applicants have five years from the date they pass the examination to satisfy the Bachelor's Degree and work experience requirements.

Standards of Professional Conduct

Applicants are required to meet the CFP Board's *Candidate Fitness Standards*, which describe conduct that: 1) is unacceptable and will always bar an individual from becoming certified; or 2) is presumed to be unacceptable and will bar an individual from becoming certified unless the individual successfully petitions the CFP Board.

Before being authorized to use the CFP® certification marks, applicants and holders of the certificate must disclose any criminal, civil, self-regulatory organization or governmental agency inquiry, investigation or proceeding involvement. Applicants and holders must also acknowledge the right of the CFP Board to enforce its *Standards of Professional Conduct*.

Business Experience

Employment History	Dates
Rockbridge Investment Management, LLC, Principal	2008-present
RJR Associates, Financial Advisor	2004-2009

Item 3 – Disciplinary Information

Mr. Farella does not have any legal or disciplinary events to disclose. He is not the subject of any pending legal, disciplinary or administrative proceedings.

Item 4 – Other Business Activities

Mr. Farella is not involved in any other business activity or occupation that involves a substantial amount of time or pay.

Item 5 – Additional Compensation

Please refer to Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Farella is a Principal of the Advisor.



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Patrick E. Rohe

**Rockbridge Investment Management, LLC
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This brochure supplement provides information about Patrick Rohe that supplements the Rockbridge Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact him at 315.671.0588 if you did not receive Rockbridge Investment Management's brochure or if you have any questions about the contents of this supplement.

March 20, 2020

Item 2 – Educational Background and Business Experience

Patrick E. Rohe was born in 1986. He received a Bachelor of Science degree in Applied Economics and Management from Cornell University in 2008 and a Bachelor of Science in Animal Science from Cornell University 2008.

He has earned and maintains the following professional designation with the listed minimum qualification requirements:

Certified Financial Planner™ (CFP®) Year earned-2012

To earn the certification recipients must meet the following requirements:

Coursework

Complete courses that cover integrated financial planning topics such as:

- General principles of financial planning
- Insurance planning and risk management
- Employee benefits planning
- Investment planning
- Income tax planning
- Retirement planning
- Estate planning

Bachelor's Degree

In addition to completing the course-work a Bachelor's Degree (or its equivalent) or an advanced degree so long as it is from an accredited college or university. The Bachelor's Degree is a requirement for certification but is not a requirement to be eligible to take the examination.

Work Experience

Applicants must supervise, directly support, teach or personally deliver all or part of the personal financial planning process to a client. Qualifying experience must fit within one or more of the six primary elements of the personal financial planning process described below:

- Establishing and Defining the Relationship with the Client – This includes explaining the issues and concepts related to the personal financial planning process, and clearly specifying the services the individual or firm will provide and the associated responsibilities.
- Gathering Client Data Including Goals – This includes interviewing or questioning the client about various aspects of their financial resources, obligations and expectations. It also involves helping to determine the client's goals, needs and priorities, assessing the client's values and attitudes and determining time horizons and risk tolerance.
- Analyzing and Evaluating the Client's Financial Status – This involves analyzing and evaluating client data such as current cash flow needs, risk management, investments, taxes, retirement, employee benefits, estate planning, and special needs.
- Developing and Presenting Financial Planning Recommendations and/or Alternatives – This process includes presenting and reviewing recommendations, working to ensure that the plan meets the goals and expectations of the client, and revising the recommendations as necessary.
- Implementing the Financial Planning Recommendations – This involves helping the client put the financial planning recommendations into action and may include coordinating with other professionals, such as accountants, attorneys, real estate agents, stockbrokers and insurance agents.

unacceptable and will always bar an individual from becoming certified; or 2) is presumed to be unacceptable and will bar an individual from becoming certified unless the individual successfully petitions the CFP Board.

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Business Experience

Employment History	Dates
Rockbridge Investment Management, LLC, Financial Advisor	2009-present
Wells Fargo Advisors, Financial Advisor	2008-2009
No professional business experience prior to 2008	

Item 3 – Disciplinary Information

Mr. Rohe does not have any legal or disciplinary events to disclose. He is not the subject of any pending legal, disciplinary or administrative proceedings.

Item 4 – Other Business Activities

Mr. Rohe is not involved in any other business activity or occupation that involves a substantial amount of time or pay.

Item 5 – Additional Compensation

Please refer to Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Rohe is a member of the Advisor and as such does not have a supervisor.



ROCKBRIDGE

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David M. Carroll

**Rockbridge Investment Management, LLC
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March 20, 2020

Item 2 – Educational Background and Business Experience

David M. Carroll was born in 1990. He received a Bachelor of Science degree in Finance from LeMoyne College in 2013.

He has earned and maintains the following professional designation with the listed minimum qualification requirements:

Certified Financial Planner™ (CFP®) Year earned-2017

To earn the certification recipients must meet the following requirements:

Coursework

Complete courses that cover integrated financial planning topics such as:

- General principles of financial planning
- Insurance planning and risk management
- Employee benefits planning
- Investment planning
- Income tax planning
- Retirement planning
- Estate planning

Bachelor's Degree

In addition to completing the course-work a Bachelor's Degree (or its equivalent) or an advanced degree so long as it is from an accredited college or university. The Bachelor's Degree is a requirement for certification but is not a requirement to be eligible to take the examination.

Work Experience

Applicants must supervise, directly support, teach or personally deliver all or part of the personal financial planning process to a client. Qualifying experience must fit within one or more of the six primary elements of the personal financial planning process described below:

- Establishing and Defining the Relationship with the Client – This includes explaining the issues and concepts related to the personal financial planning process, and clearly specifying the services the individual or firm will provide and the associated responsibilities.
- Gathering Client Data Including Goals – This includes interviewing or questioning the client about various aspects of their financial resources, obligations and expectations. It also involves helping to determine the client's goals, needs and priorities, assessing the client's values and attitudes and determining time horizons and risk tolerance.
- Analyzing and Evaluating the Client's Financial Status – This involves analyzing and evaluating client data such as current cash flow needs, risk management, investments, taxes, retirement, employee benefits, estate planning, and special needs.
- Developing and Presenting Financial Planning Recommendations and/or Alternatives – This process includes presenting and reviewing recommendations, working to ensure that the plan meets the goals and expectations of the client, and revising the recommendations as necessary.
- Implementing the Financial Planning Recommendations – This involves helping the client put the financial planning recommendations into action and may include coordinating with other professionals, such as accountants, attorneys, real estate agents, stockbrokers and insurance agents.

- **Monitoring the Financial Planning Recommendations** – This involves discussing with the client any changes in their personal circumstances, evaluating changing tax laws, and making recommendations based on new or changing conditions.

Applicants have five years from the date they pass the examination to satisfy the Bachelor's Degree and work experience requirements.

Standards of Professional Conduct

Applicants are required to meet the CFP Board's *Candidate Fitness Standards*, which describe conduct that: 1) is unacceptable and will always bar an individual from becoming certified; or 2) is presumed to be unacceptable and will bar an individual from becoming certified unless the individual successfully petitions the CFP Board.

Before being authorized to use the CFP® certification marks, applicants and holders of the certificate must disclose any criminal, civil, self-regulatory organization or governmental agency inquiry, investigation or proceeding involvement. Applicants and holders must also acknowledge the right of the CFP Board to enforce its *Standards of Professional Conduct*.

Business Experience

Employment History	Dates
Rockbridge Investment Management, LLC, Financial Advisor	2014-present
Wells Fargo Advisors, Financial Advisor	2013-2014
Morgan Stanley Wealth Management, Financial Advisor	2013
Mutual of Omaha/Mutual of Omaha Investor Services, Registered Representative	2010-2013

Item 3 – Disciplinary Information

Mr. Carroll does not have any legal or disciplinary events to disclose. is not the subject of any pending legal, disciplinary or administrative proceedings.

Item 4 – Other Business Activities

Mr. Carroll is not involved in any other business activity or occupation that involves a substantial amount of time or pay.

Item 5 – Additional Compensation

Please refer to Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Carroll is a member of the Advisor and as such does not have a supervisor.



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Michael L. Antonacci

**Rockbridge Investment Management, LLC
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This brochure supplement provides information about Michael Antonacci that supplements the Rockbridge Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact him at 315.671.0588 if you did not receive Rockbridge Investment Management's brochure or if you have any questions about the contents of this supplement.

March 20, 2020

Item 2 – Educational Background and Business Experience

Michael L. Antonacci was born in 1982. He received a Bachelor of Arts degree in Philosophy from Hamilton College in 2004, a Juris Doctorate from Albany Law, and a Masters of Business Administration from Union Graduate College in 2011.

Business Experience

Employment History	Dates
Rockbridge Investment Management, LLC, Financial Advisor	2017-present
Ayco, a Goldman Sachs Company	2011-2017
General Electric Company	2010

Item 3 – Disciplinary Information

Mr. Antonacci does not have any legal or disciplinary events to disclose. He is not the subject of any pending legal, disciplinary or administrative proceedings.

Item 4 – Other Business Activities

Mr. Antonacci is not involved in any other business activity or occupation that involves a substantial amount of time or pay.

Item 5 – Additional Compensation

Please refer to Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Antonacci is supervised by Craig Buckhout, Principal. Clients may contact Mr. Buckhout at (315) 671-0588.



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Edward J. Barno

**Rockbridge Investment Management, LLC
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Syracuse, NY 13202
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March 20, 2020

Edward J. Barno was born in 1955. He received a Bachelor of Science degree from Clarkson University in 1977, and a Master of Business Administration from Syracuse University in 1991. He is a Certified Public Accountant, licensed in New York State (license currently inactive).

Ed has earned and maintains the following professional designation with the listed minimum qualification requirements:

CERTIFIED PRIVATE WEALTH ADVISOR® (CPWA®)

The CPWA designation signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for the professional designation, which is centered on management topics and strategies for high-net-worth clients. Prerequisites for the CPWA designation are: a Bachelor's degree from an accredited college or university or one of the following designations or licenses: CIMA®, CIMC®, CFA®, CFP®, ChFC® or CPA license; acceptable regulatory history as evidenced by FINRA Form U-4 or other regulatory requirements; five years of professional client-centered experience in financial services or a related industry; and two letters of reference from an IMCA member, professional supervisor, or currently licensed professional in financial services or a related industry. CPWA designees must complete a six-month pre-class educational component and a five-day classroom education program through The University of Chicago Booth School of Business. CPWA designees are required to adhere to IMCA's Code of Professional Responsibility and Rules and Guidelines for Use of the Marks. CPWA designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. The designation is administered through Investment Management Consultants Association (IMCA®).

Business Experience

Employment History	Dates
Rockbridge Investment Management, LLC, Financial Advisor	2015-present
Salt City Financial Planning and Wealth Management, LLC	2011-2015
Invest Financial Corporation	2010-2011
Unemployed	2005-2010
Senior Select, LLC	2002-2004
Self Employed Business Consultant	2001-2002

Item 3 – Disciplinary Information

Mr. Barno does not have any legal or disciplinary events to disclose. He is not the subject of any pending legal, disciplinary or administrative proceedings.

Item 4 – Other Business Activities

Mr. Barno is not involved in any other business activity or occupation that involves a substantial amount of time or pay.

Item 5 – Additional Compensation

Please refer to Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Barno is supervised by Craig Buckhout, Principal. Clients may contact Mr. Buckhout at (315) 671-0588.



ROCKBRIDGE

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Ethan D. Gilbert

**Rockbridge Investment Management, LLC
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This brochure supplement provides information about Ethan Gilbert that supplements the Rockbridge Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact him at 315.671.0588 if you did not receive Rockbridge Investment Management's brochure or if you have any questions about the contents of this supplement.

March 20, 2020

Item 2 – Educational Background and Business Experience

Ethan D. Gilbert was born in 1989. He received a Bachelor of Science degree in Finance from Cornell University in 2011.

Ethan has earned and maintains the following professional designations with the listed minimum qualification requirements:

Chartered Financial Analyst®

The Chartered Financial Analyst (CFA) charter is a globally recognized graduate-level investment credential. Earning the charter demonstrates a professional's commitment to ethics and expertise. An investor who works with a CFA charter holder is partnering with a professional with unparalleled expertise, integrity, and adaptability in the financial sector.

The CFA charter is a rigorous test of a candidate's analytical abilities and ethics knowledge. More than 100,000 people globally sit for the exam each year; on average, less than 20% testing receive a CFA charter. To be eligible for the charter, a candidate must pass three consecutive annual examinations, involving approximately 1,000 hours of research relating to:

- Ethical and professional standards in finance
- Investment analysis
- Economic theory and analysis
- Financial statement reporting and analysis Asset valuation, including derivatives, fixed income, and equities
- Portfolio management

In addition to the annual examinations, candidates must meet additional education and experience requirements, and attest annually to the CFA Institute Code of Ethics and Standards of Professional Conduct. The Code of Ethics maintains that a CFA charter holder must:

- Place the integrity of the profession and the interest of clients above their own interests
- Act with integrity, competence, and respect
- Maintain and develop professional competence

Working with an investment advisor who has earned the CFA charter means that you can trust that the advice you receive is objective, based on a foundation of research and facts, and that recommendations are based on your best interests. To learn more about the CFA charter, visit www.cfainstitute.org

Certified Financial Planner™ (CFP®) Year earned-2018

To earn the certification recipients must meet the following requirements:

Coursework

Complete courses that cover integrated financial planning topics such as:

- General principles of financial planning
- Insurance planning and risk management
- Employee benefits planning
- Investment planning
- Income tax planning
- Retirement planning
- Estate planning

Bachelor's Degree

In addition to completing the course-work a Bachelor's Degree (or its equivalent) or an advanced degree so long as it is from an accredited college or university. The Bachelor's Degree is a requirement for certification but is not a requirement to be eligible to take the examination.

Work Experience

Applicants must supervise, directly support, teach or personally deliver all or part of the personal financial planning process to a client. Qualifying experience must fit within one or more of the six primary elements of the personal financial planning process described below:

- Establishing and Defining the Relationship with the Client – This includes explaining the issues and concepts related to the personal financial planning process, and clearly specifying the services the individual or firm will provide and the associated responsibilities.
- Gathering Client Data Including Goals – This includes interviewing or questioning the client about various aspects of their financial resources, obligations and expectations. It also involves helping to determine the client's goals, needs and priorities, assessing the client's values and attitudes and determining time horizons and risk tolerance.
- Analyzing and Evaluating the Client's Financial Status – This involves analyzing and evaluating client data such as current cash flow needs, risk management, investments, taxes, retirement, employee benefits, estate planning, and special needs.
- Developing and Presenting Financial Planning Recommendations and/or Alternatives – This process includes presenting and reviewing recommendations, working to ensure that the plan meets the goals and expectations of the client, and revising the recommendations as necessary.
- Implementing the Financial Planning Recommendations – This involves helping the client put the financial planning recommendations into action and may include coordinating with other professionals, such as accountants, attorneys, real estate agents, stockbrokers and insurance agents.
- Monitoring the Financial Planning Recommendations – This involves discussing with the client any changes in their personal circumstances, evaluating changing tax laws, and making recommendations based on new or changing conditions.

Applicants have five years from the date they pass the examination to satisfy the Bachelor's Degree and work experience requirements.

Standards of Professional Conduct

Applicants are required to meet the CFP Board's *Candidate Fitness Standards*, which describe conduct that: 1) is unacceptable and will always bar an individual from becoming certified; or 2) is presumed to be unacceptable and will bar an individual from becoming certified unless the individual successfully petitions the CFP Board.

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Business Experience

Employment History	Dates
Rockbridge Investment Management, LLC, Financial Advisor	2017-present
Disciplined Capital Management, Vice President	2017
Bridgewater Associates, Trading Analyst	2013
Bank of America, Treasury Bond Trader	2011-2013

Item 3 – Disciplinary Information

Mr. Gilbert does not have any legal or disciplinary events to disclose. He is not the subject of any pending legal, disciplinary or administrative proceedings.

Item 4 – Other Business Activities

Mr. Gilbert is not involved in any other business activity or occupation that involves a substantial amount of time or pay.

Item 5 – Additional Compensation

Please refer to Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Gilbert is a member of the Advisor and as such does not have a supervisor.



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Claire E. Kobylanski

**Rockbridge Investment Management, LLC
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This brochure supplement provides information about Claire Kobylanski that supplements the Rockbridge Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact her at 315.671.0588 if you did not receive Rockbridge Investment Management's brochure or if you have any questions about the contents of this supplement.

March 20, 2020

Item 2 – Educational Background and Business Experience

Claire E. Kobylanski was born in 1992. She received a Bachelor of Science degree in Business Administration and Management from Elmira College in 2014 and a Bachelor of Science degree in Economics from Elmira College in 2014.

Business Experience

Employment History	Dates
Rockbridge Investment Management, LLC, Financial Advisor	2015-present
Oneida Wealth Management Inc., Operations Administrator	2014-2015

Item 3 – Disciplinary Information

Ms. Kobylanski does not have any legal or disciplinary events to disclose. She is not the subject of any pending legal, disciplinary or administrative proceedings.

Item 4 – Other Business Activities

Ms. Kobylanski is not involved in any other business activity or occupation that involves a substantial amount of time or pay.

Item 5 – Additional Compensation

Please refer to Item 4 - Other Business Activities above.

Item 6 – Supervision

Ms. Kobylanski is supervised by Craig Buckhout, Principal. Clients may contact Mr. Buckhout at (315) 671-0588.



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Edward A. Petronio

**Rockbridge Investment Management, LLC
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March 20, 2020

Item 2 – Educational Background and Business Experience

Edward A Petronio was born in 1946. He received a Bachelor of Science degree from Syracuse University in 1969 and an M.B.A in from Syracuse University in 1972. He also earned his PhD from Syracuse University in 1978.

Business Experience

Employment History	Dates
Rockbridge Investment Management, LLC, Wealth Manager	2014-present
Salt City Financial Planning, Investment Advisor Representative	2011-2014
Invest Financial Corp, Registered Representative	2011
Woodbury Financial Services, Registered Representative	2010-2011
HESCO, Inc., Independent Agent	1994-2010
GNP Financial Strategies, Vice President	1990-2009

Item 3 – Disciplinary Information

Mr. Petronio does not have any legal or disciplinary events to disclose. He is not the subject of any pending legal, disciplinary or administrative proceedings.

Item 4 – Other Business Activities

Mr. Petronio is not involved in any other business activity or occupation that involves a substantial amount of time or pay.

Item 5 – Additional Compensation

Please refer to Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Petronio is supervised by Craig Buckhout, Principal. Clients may contact Mr. Buckhout at (315) 671-0588.



ROCKBRIDGE

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Robert J Ryan, Jr.

**Rockbridge Investment Management, LLC
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March 20, 2020

Robert J. Ryan, Jr. was born in 1944. He received a Bachelor of Science degree in Mechanical Engineering from the University of Vermont in 1966 and a Master of Business Administration with distinction from the Johnson Graduate School of Management of Cornell University in 1971. In addition, he received a Ph.D. degree in Finance from Syracuse University in 1989.

Business Experience

Employment History	Dates
Rockbridge Investment Management, LLC, Chief Investment Officer	2014-present
Disciplined Capital Management, LLC, Member	2015-present
RJR Associates, Inc., Principal	2005-present
Disciplined Capital Management, President	1991-2008

Item 3 – Disciplinary Information

Mr. Ryan does not have any legal or disciplinary events to disclose. He is not the subject of any pending legal, disciplinary or administrative proceedings.

Item 4 – Other Business Activities

Mr. Ryan is a member of Disciplined Capital Management, a registered investment advisory firm that provides investment supervisory services that include among other things, advice regarding asset allocation and the selection of investments as well as portfolio design, investment plan implementation and ongoing investment monitoring.

Item 5 – Additional Compensation

Please refer to Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Ryan is supervised by Craig Buckhout, Principal. Clients may contact Mr. Buckhout at (315) 671-0588.



ROCKBRIDGE

INVESTMENT MANAGEMENT

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Firm Brochure Supplement**

Zachary D. DeBottis

Rockbridge Investment Management, LLC
220 South Warren Street, 9th Floor
Syracuse, NY 13202
(315) 671-0588
www.rockbridgeinvest.com

This brochure supplement provides information about Zach DeBottis that supplements the Rockbridge Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact him at 315.671.0588 if you did not receive Rockbridge Investment Management's brochure or if you have any questions about the contents of this supplement.

March 20, 2020

Item 2 – Educational Background and Business Experience

Zachary D. DeBottis was born in 1997. He received a Bachelor of Science degree in Accounting from SUNY Geneseo in 2019.

Business Experience

Employment History	Dates
Rockbridge Investment Management, LLC, Financial Advisor	2019-present

Item 3 – Disciplinary Information

Mr. DeBottis does not have any legal or disciplinary events to disclose. He is not the subject of any pending legal, disciplinary or administrative proceedings.

Item 4 – Other Business Activities

Mr. DeBottis is not involved in any other business activity or occupation that involves a substantial amount of time or pay.

Item 5 – Additional Compensation

Please refer to Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. DeBottis is supervised by Craig Buckhout, Principal. Clients may contact Mr. Buckhout at (315) 671-0588.



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INVESTMENT MANAGEMENT

**Form ADV Part 2B
Firm Brochure Supplement**

Nicholas A. Stancato

**Rockbridge Investment Management, LLC
220 South Warren Street, 9th Floor
Syracuse, NY 13202
(315) 671-0588
www.rockbridgeinvest.com**

This brochure supplement provides information about Nicholas Stancato that supplements the Rockbridge Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact him at 315.671.0588 if you did not receive Rockbridge Investment Management's brochure or if you have any questions about the contents of this supplement.

March 20, 2020

Item 2 – Educational Background and Business Experience

Nicholas A. Stancato was born in 1985. He received a Bachelor of Science degree in Mechanical Engineering from Rochester Institute of Technology in 2008.

Business Experience

Employment History	Dates
Rockbridge Investment Management, LLC, Operations Manager	2018-present
General Electric Company, Engineering Manager	2016-2018
General Electric Company, Senior Engineer	2012-2016
General Electric Company, Mechanical Engineer	2007-2012
Robert Bosch Corporation, Mechanical Engineer	2006-2007

Item 3 – Disciplinary Information

Mr. Stancato does not have any legal or disciplinary events to disclose. He is not the subject of any pending legal, disciplinary or administrative proceedings.

Item 4 – Other Business Activities

Mr. Stancato is not involved in any other business activity or occupation that involves a substantial amount of time or pay.

Item 5 – Additional Compensation

Please refer to Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Stancato is a member of the Advisor and as such does not have a supervisor.



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INVESTMENT MANAGEMENT

**Form ADV Part 2B
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Kevin R. Sullivan

**Rockbridge Investment Management, LLC
220 South Warren Street, 9th Floor
Syracuse, NY 13202
(315) 671-0588
www.rockbridgeinvest.com**

This brochure supplement provides information about Kevin Sullivan that supplements the Rockbridge Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact him at 315.671.0588 if you did not receive Rockbridge Investment Management's brochure or if you have any questions about the contents of this supplement.

March 20, 2020

Item 2 – Educational Background and Business Experience

Kevin R. Sullivan was born in 1966. He received a Bachelor of Science degree in Interdisciplinary Studies from St. John Fisher College in 1989.

He has earned and maintains the following professional designation with the listed minimum qualification requirements:

Certified Financial Planner™ (CFP®) Year earned-2017

To earn the certification recipients must meet the following requirements:

Coursework

Complete courses that cover integrated financial planning topics such as:

- General principles of financial planning
- Insurance planning and risk management
- Employee benefits planning
- Investment planning
- Income tax planning
- Retirement planning
- Estate planning

Bachelor's Degree

In addition to completing the course-work a Bachelor's Degree (or its equivalent) or an advanced degree so long as it is from an accredited college or university. The Bachelor's Degree is a requirement for certification but is not a requirement to be eligible to take the examination.

Work Experience

Applicants must supervise, directly support, teach or personally deliver all or part of the personal financial planning process to a client. Qualifying experience must fit within one or more of the six primary elements of the personal financial planning process described below:

- Establishing and Defining the Relationship with the Client – This includes explaining the issues and concepts related to the personal financial planning process, and clearly specifying the services the individual or firm will provide and the associated responsibilities.
- Gathering Client Data Including Goals – This includes interviewing or questioning the client about various aspects of their financial resources, obligations and expectations. It also involves helping to determine the client's goals, needs and priorities, assessing the client's values and attitudes and determining time horizons and risk tolerance.
- Analyzing and Evaluating the Client's Financial Status – This involves analyzing and evaluating client data such as current cash flow needs, risk management, investments, taxes, retirement, employee benefits, estate planning, and special needs.
- Developing and Presenting Financial Planning Recommendations and/or Alternatives – This process includes presenting and reviewing recommendations, working to ensure that the plan meets the goals and expectations of the client, and revising the recommendations as necessary.
- Implementing the Financial Planning Recommendations – This involves helping the client put the financial planning recommendations into action and may include coordinating with other professionals, such as accountants, attorneys, real estate agents, stockbrokers and insurance agents.

- Monitoring the Financial Planning Recommendations – This involves discussing with the client any changes in their personal circumstances, evaluating changing tax laws, and making recommendations based on new or changing conditions.

Applicants have five years from the date they pass the examination to satisfy the Bachelor's Degree and work experience requirements.

Standards of Professional Conduct

Applicants are required to meet the CFP Board's *Candidate Fitness Standards*, which describe conduct that: 1) is unacceptable and will always bar an individual from becoming certified; or 2) is presumed to be unacceptable and will bar an individual from becoming certified unless the individual successfully petitions the CFP Board.

Before being authorized to use the CFP® certification marks, applicants and holders of the certificate must disclose any criminal, civil, self-regulatory organization or governmental agency inquiry, investigation or proceeding involvement. Applicants and holders must also acknowledge the right of the CFP Board to enforce its *Standards of Professional Conduct*.

Business Experience

Employment History	Dates
Rockbridge Investment Management, LLC, Financial Advisor	2016-present
Solvay Bank Trust & Investment Services, Senior Trust Officer	2009-2016
Alliance Bank Investment Management, Vice President	2005-2009
Fleet Private Clients Group, Vice President	1998-2005
Key Bank of New York, Assistant Vice President	1992-1998

Item 3 – Disciplinary Information

Mr. Sullivan does not have any legal or disciplinary events to disclose. He is not the subject of any pending legal, disciplinary or administrative proceedings.

Item 4 – Other Business Activities

Mr. Sullivan is not involved in any other business activity or occupation that involves a substantial amount of time or pay.

Item 5 – Additional Compensation

Please refer to Item 4 - Other Business Activities above.

Item 6 – Supervision

Mr. Sullivan is supervised by Craig Buckhout, Principal. Clients may contact Mr. Buckhout at (315) 671-0588.